



## **Notice to Members I-14-27**

October 31, 2014

### **All IB financial reports required to be filed electronically including IB annual certified FOCUS reports**

In order to increase efficiencies, NFA amended [NFA Financial Requirements Section 5](#) to require [all IB financial reports to be filed electronically](#), including annual certified FOCUS reports submitted by IBs that also are broker/dealers.

Beginning with the Oct. 31, 2014 IB financial reports, all IB FOCUS reports, including annual certified FOCUS reports, must be filed electronically through the [WinJammer™ system](#), which recently was upgraded to accept the annual certified filings. All other IB financial filings are required to be filed electronically through NFA's EasyFile system.

Questions concerning this new requirement should be directed to NFA's Information Center at [800-621-3570](tel:800-621-3570) or [312-781-1410](tel:312-781-1410), or by email at [information@nfa.futures.org](mailto:information@nfa.futures.org).